

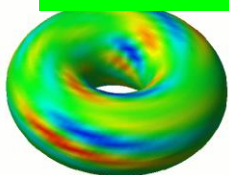


# Electron Thermal Transport

**W. Horton**

**Institute for Fusion Studies**

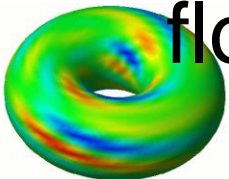
**Collaborators:** G. T. Hoang, John Kim,  
H. Park, T.-H. Watanabe and H. Sugama and E.  
Asp



**First ITER School, Aix-en Provence  
July 16-20, 2007**

# Outline and Topics

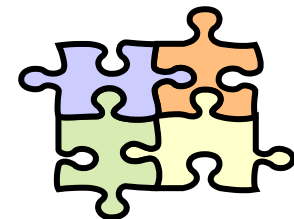
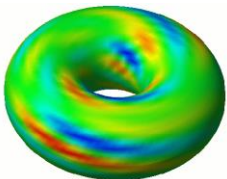
- Electron Thermal Transport- unique character.
- Power Balance and inferred diffusivity.
- Gyrofluid simulations showing strong inverse cascade from high  $k$  to ITG scale from  $T_e$  driver.
- High  $k$ -scattering data.
- Electromagnetic streamers and zonal flows.



# Electron Thermal Transport

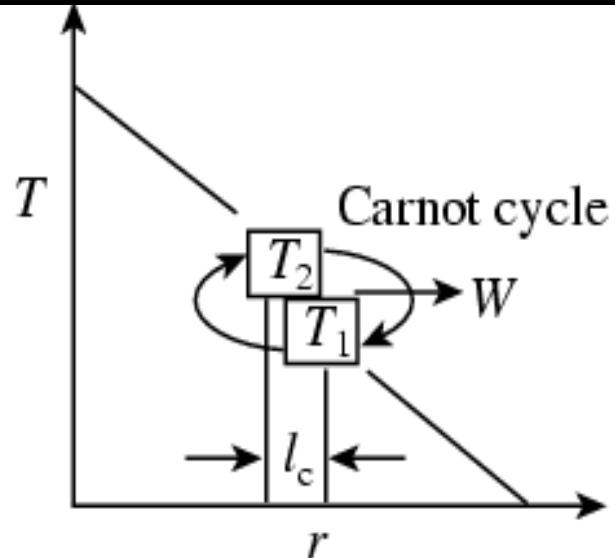
- **Electron transport** is a phenomenon with its own characteristics
  - Kadomtsev – “Tokamak Plasma: A Complex Physical System” (1992)
- **TTF declares electron transport** a top priority issue ~2004
- Electron transport has universal features across confinement geometries
- Electron transport space/time scales are small/fast

Electron transport is a “puzzle”



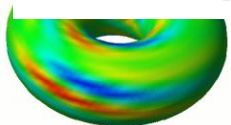
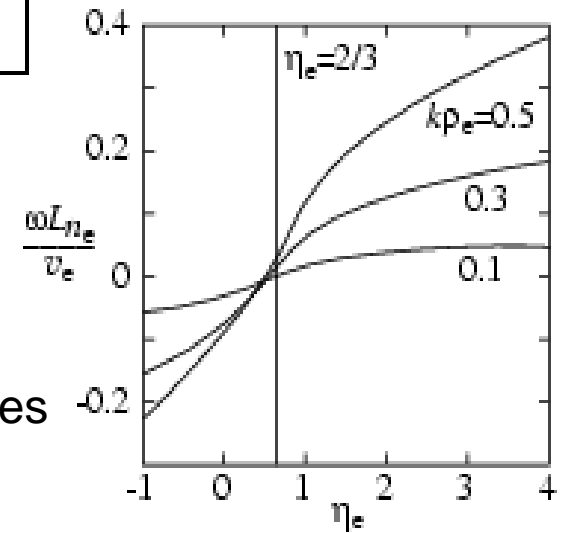
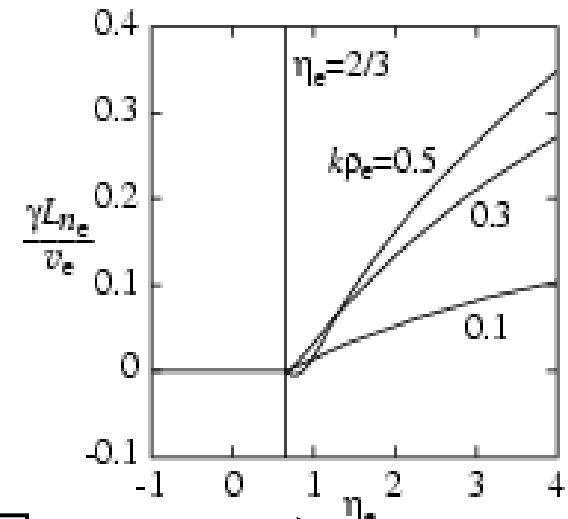
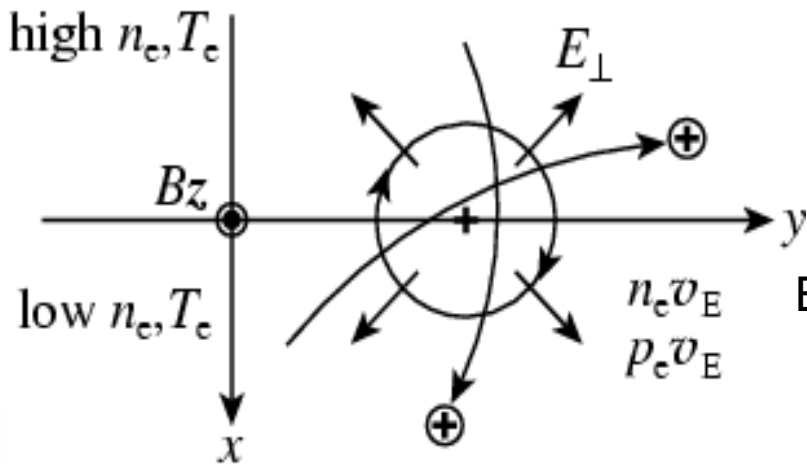
# Threshold $\nabla T$ for Convection

Vlasov (GKE) Equation Growth Rate



ETG Drift Waves

$$\eta_e = \frac{\partial \ln T_e}{\partial \ln n_e}$$

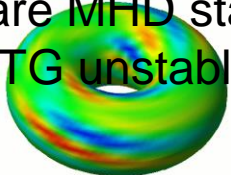
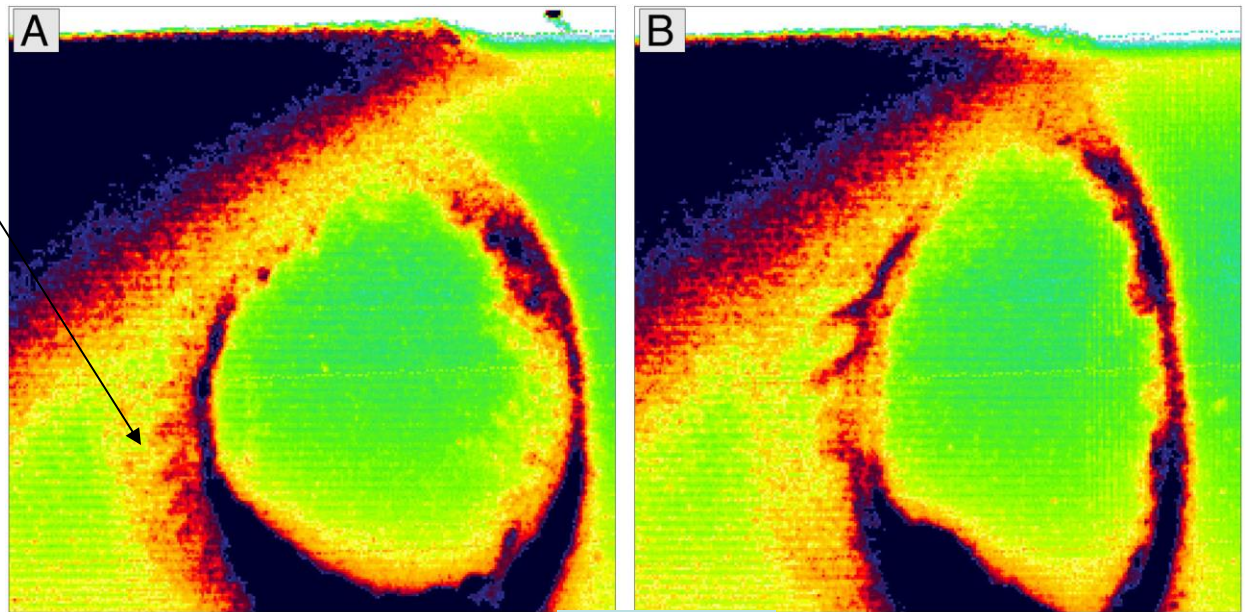


# Duskside auroral undulations observed by IMAGE and their possible association with large-scale structures on the inner edge of the electron plasma sheet

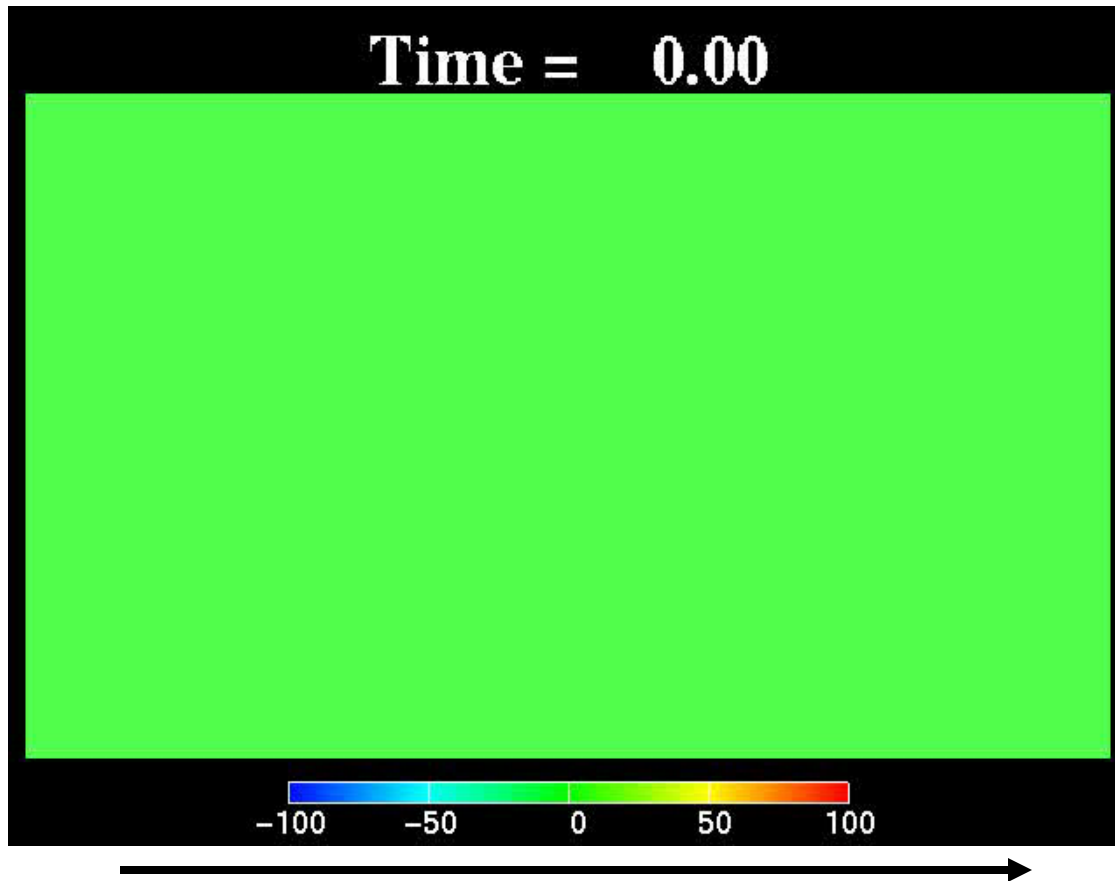
W. S. Lewis,<sup>1</sup> J. L. Burch,<sup>1</sup> J. Goldstein,<sup>1</sup> W. Horton,<sup>2</sup> J. C. Perez,<sup>2</sup> H. U. Frey,<sup>3</sup> and P. C. Anderson<sup>4</sup>

Received 13 August 2005; revised 1 November 2005; accepted 9 November 2005; published 20 December 2005.

Large-scale undulations in recovery phase of storms are observed in FUV from IMAGE in the diffuse aurora. 'Fingers' map to the equatorial plane from inner edge of plasma sheet  $T_i \sim 2-3\text{keV}$  to the center of Ring Current where  $T_i \sim 15\text{keV}$ . The flux tubes are MHD stable but ITG unstable.



# Drift Wave Turbulence in Torus with Magnetic Shear --ETG



$$[t]=L_n/v_e$$

$$\eta_e=3$$

$$s/q=.8/1.4$$

Chi-e

~80gBohme

T.-H. Watanabe  
GKV simulations  
(2006) 5D  
Shows how  
toroidal  $R/L_T$   
curvature creates  
long "fingers"

Movie of ETG turbulence in flux tube coordinates for annulus in a tokamak.

Shows formation of long radial streamers of about size of ion gyroradius.

Supported by



Office of  
Science



# Confinement and Local Transport in the National Spherical Torus Experiment

College W&M  
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U Wisconsin

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D. Stutman<sup>7</sup>, K. Tritz<sup>7</sup>, W. Wang<sup>1</sup>, H. Yuh<sup>4</sup>

**21<sup>st</sup> IAEA/Fusion 2006 Meeting**

Oct 16 – 21, 2006  
Chengdu, China

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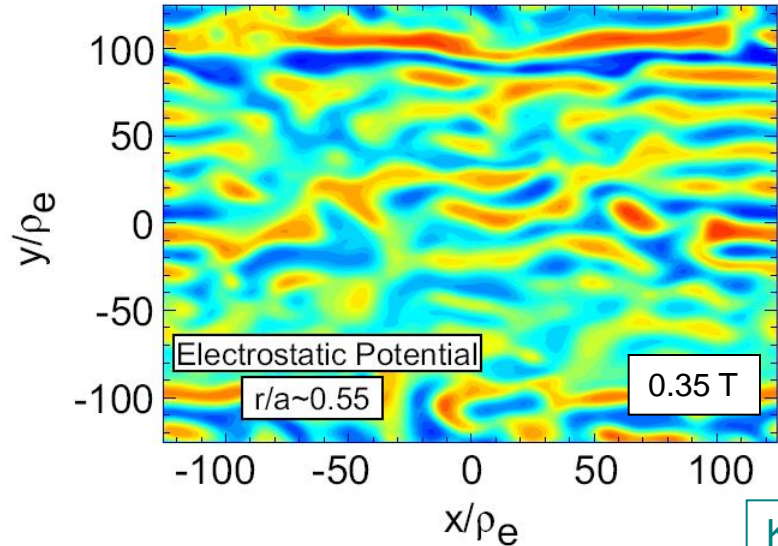
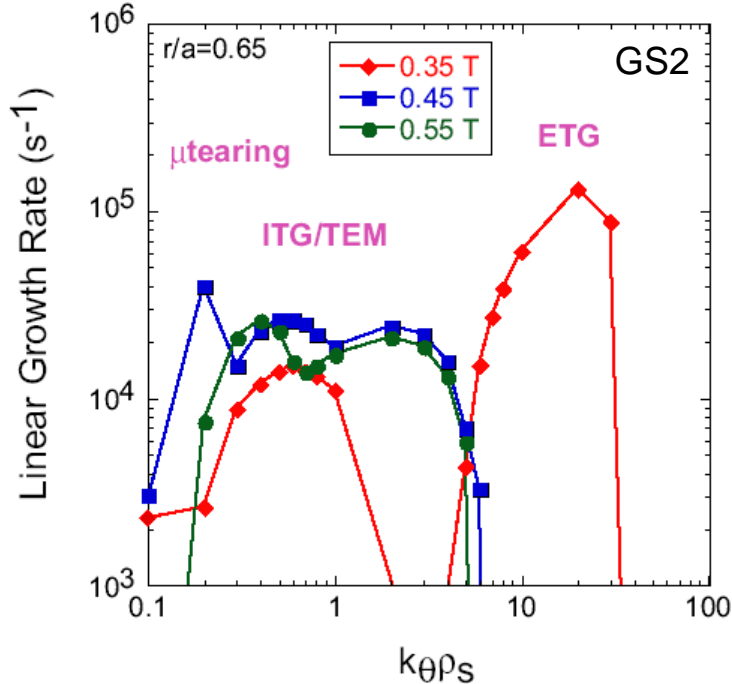
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York U  
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U Quebec

# ETG Dominates Electron Transport at Low $B_T$ in NSTX

ETG linearly unstable only at lowest  $B_T$

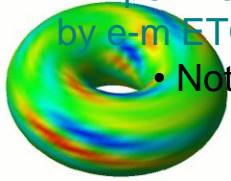
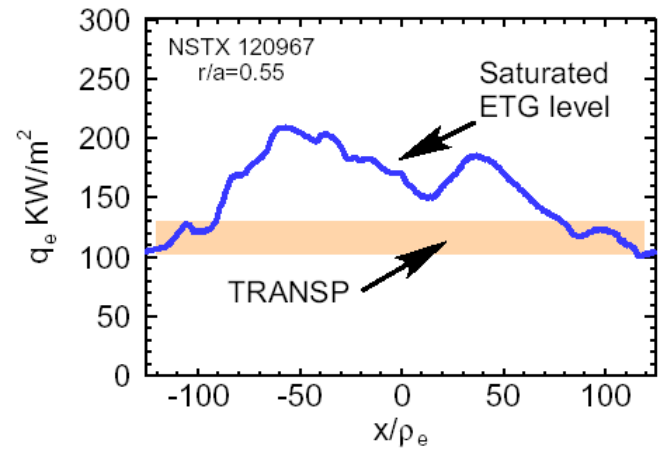
- 0.35 T:  $R/L_{Te}$  20% above critical gradient
- 0.45, 0.55 T:  $R/L_{Te}$  20-30% below critical gradient

Non-linear simulations indicate formation of radial streamers (up to  $200\rho_e$ ): FLR-modified fluid code [Horton et al., PoP 2005]



Kim, IFS

- Good agreement between experimental and theoretical saturated transport level at 0.35 T
- Experimental  $\chi_e$  profile consistent with that predicted by e-m ETG theory [Horton et al., NF 2004] at 0.35 T
- Not at higher  $B_T$





# Three PDEs of ETG Dynamics

1. Divergence of the electric current =0
2. Generalized Ohm's law =0
3. Thermal balance for the electron pressure=0

Eq.1 =  $d_t \Delta \phi$  + Two Poisson Bracket (BP) nonlinearities  
+ viscosity

Eq. 2 =  $d_t \delta B_x$  + Three PB nonlinearities + resistivity

Eq. 3 =  $d_t T_e$  + Two PB nonlinearities + perp & parallel  
thermal diffusivity

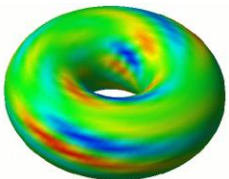
5 core parameters + 4 dissipation coefficients

5 energy densities and energy dissipation theorem

eg, Horton et al  
NF 2005,

Li & Kishimoto  
PoP 05

Holland and  
Diamond,  
PoP 2004



# Electron dynamics

## Ohm's Law and Frozen in Motion

$$\begin{aligned}
 \frac{m_e}{e} \frac{\partial j_{\parallel}}{\partial t} &+ enE_{\parallel}^{(A)} + \frac{B_x}{B} \frac{dp_e}{dx} \\
 &+ enE_{\parallel}^{(ES)} + \nabla_{\parallel} p_e = en\eta j_{\parallel}
 \end{aligned}$$

1
2
3
  
4
5
6

Ampere's Law

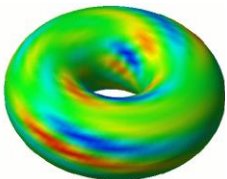
$$j_{\parallel} = -\frac{1}{\mu_0} \nabla^2 A_{\parallel}$$

$$E_{\parallel}^{(A)} = -\frac{\partial A_{\parallel}}{\partial t} \quad \text{and} \quad E_{\parallel}^{(ES)} = -\nabla_{\parallel} \phi$$

$$T_1/T_2 \quad \frac{m_e}{en\mu_0} \frac{1}{L^2} \rightarrow \frac{k_{\perp}^2 c^2}{\omega_p^2} = \begin{cases} \equiv 0 & \text{MHD } (m_e = 0) \\ \gg 1 & \text{ES - DWs} \end{cases}$$

$$\text{at } k_{\perp} \sim 1/\rho_s \quad = \frac{m_e}{m_i \beta_e}$$

$$\begin{aligned}
 A_{\parallel} \text{ - resonance} & \quad T_1 + T_2 + T_3 = 0 \\
 \phi \text{ - DW} & \quad T_4 + T_5 + T_6 = 0 \\
 \text{Frozen in Dynamics} & \quad T_2 + T_4 = 0
 \end{aligned}$$



# Magnetic Perturbations for Drift Waves

Electron Continuity Equation gives

$$e\delta(nu_{\parallel}) = \frac{\omega - \omega_{*e}}{k_{\parallel}} e\delta n$$

Ampere's Law gives

$$\delta B_x = \frac{1}{ik_y} \mu_0 \delta j_{\parallel} \simeq \frac{\mu_0 e n (\omega - \omega_{*e})}{ik_y k_{\parallel}} \frac{\delta n}{n}$$

So for TS we estimate

$$\delta j_{\parallel} \sim 5 \times 10^6 \text{ A/m}^2 \left( \frac{\delta n}{n} \right)$$

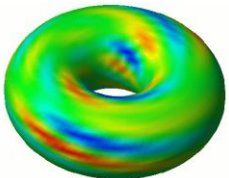
$$\text{and } \delta B_x = 5 \times 10^{-2} \text{ T} \left( \frac{\delta n}{n} \right)$$

The fractional perturbation is

$$\frac{\delta B_x}{B} = \frac{\mu_0 e n \omega_{*e}}{2k_y k_{\parallel} B} \frac{\delta n}{n} = \frac{\mu_0 n T_e}{2B^2} \frac{1}{k_{\parallel} L_n} \frac{\delta n}{n} = \frac{1}{4} \frac{\beta_e}{k_{\parallel} L_n} \frac{\delta n}{n} \sim 5 \times 10^{-4}$$

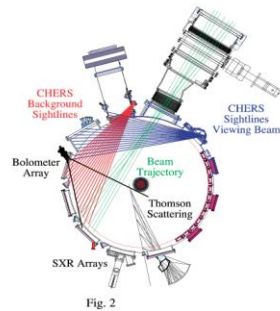
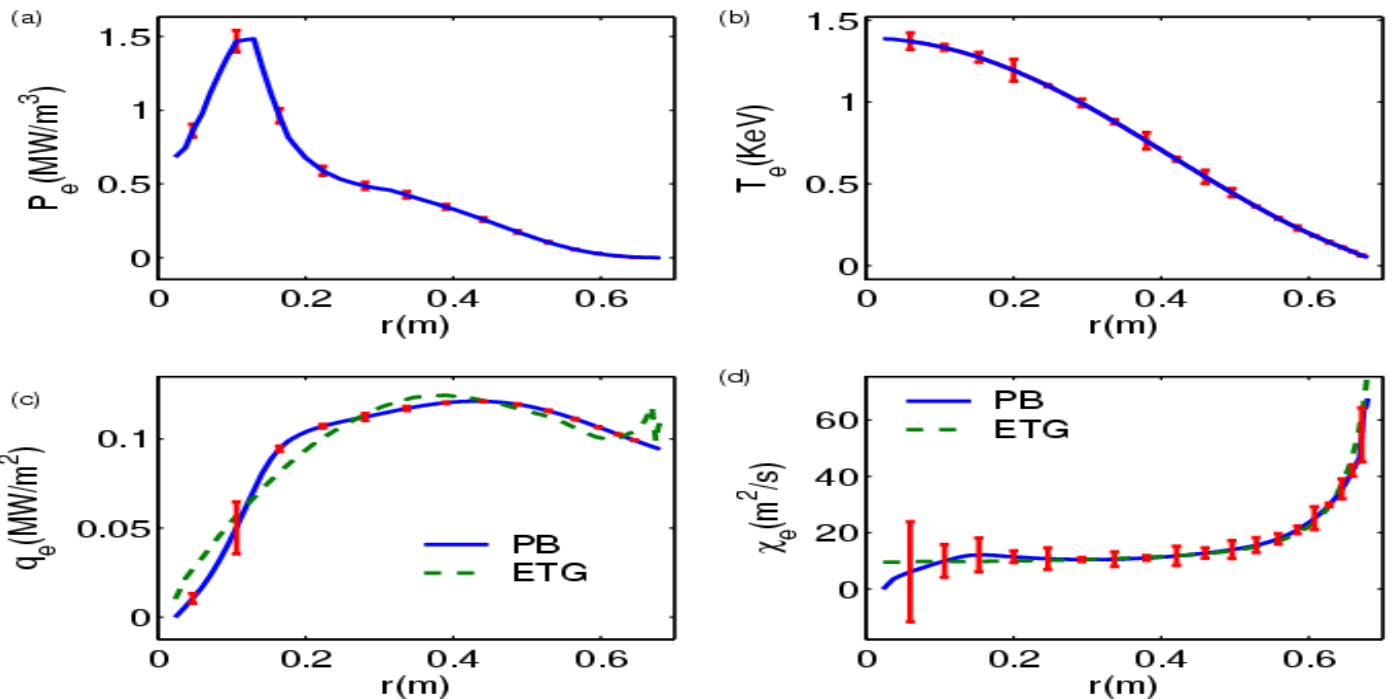
Magnetic Stochastic Transport

$$\chi_e^{\text{RR}} = \frac{\pi v_e}{|k_{\parallel}|} \left| \frac{\delta B_x}{B} \right|^2 \sim \frac{\pi 10^7 \text{ m/s}}{10^{-2} \text{ m}^{-1}} 25 \times 10^{-8} \sim 10^2 \text{ m}^2/\text{s}$$



An estimate, used for illustration only

# ETG Modeling of NSTX with HHFW heating in high beta regime



Coherent Structures in  $T_e$  field. Movie of RF heating-limiter cooling simulation at low shear.

106194 discharge from Le Blanc et al. (Nucl Fusion 2004) and Horton et al. (Nucl Fusion 2005)

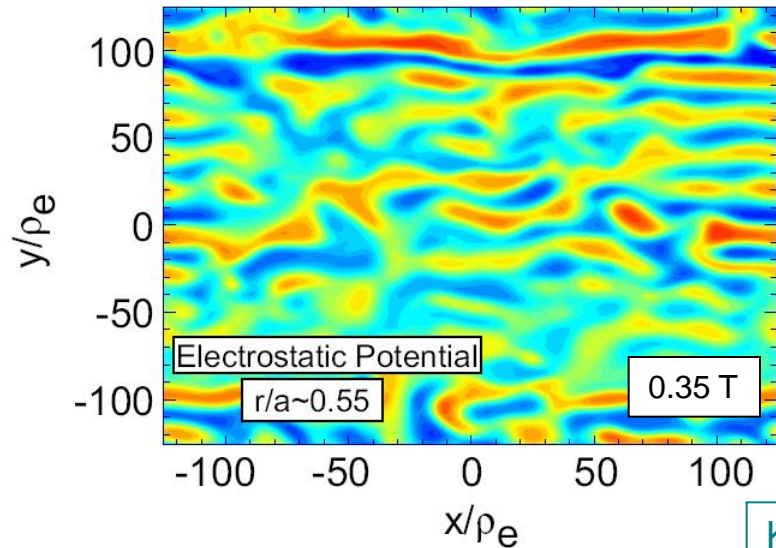
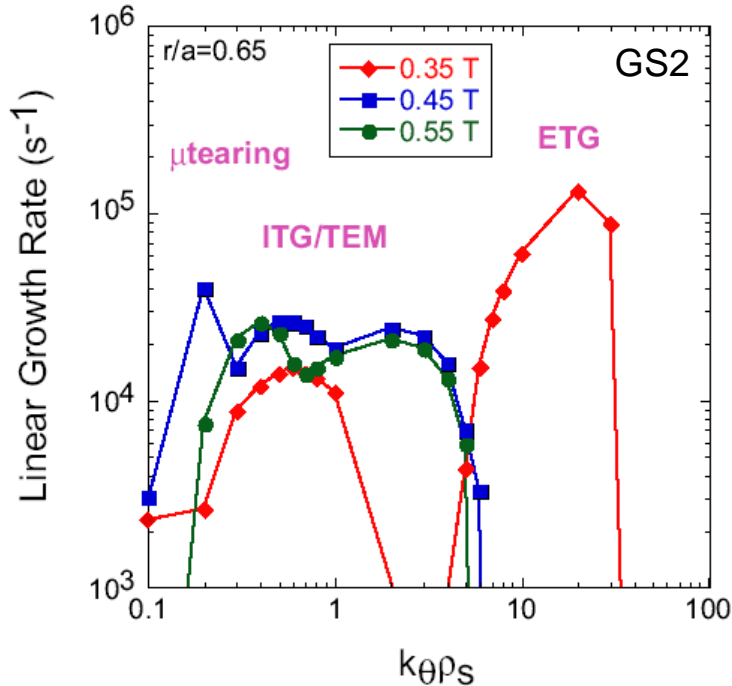
RF heating is up to an order of magnitude larger than the Ohmic heating.  
The plasma current is essential to the confinement properties.

# ETG Plays an Important Role in Determining Electron Transport at Low $B_T$ NSTX

ETG linearly unstable only at lowest  $B_T$

- 0.35 T:  $R/L_{Te}$  20% above critical gradient
- 0.45, 0.55 T:  $R/L_{Te}$  20-30% below critical gradient

Non-linear simulations indicate formation of radial streamers (up to  $200\rho_e$ ): FLR-modified fluid code [Horton et al., PoP 2005]

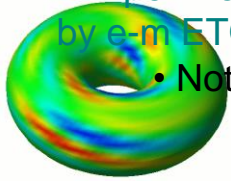
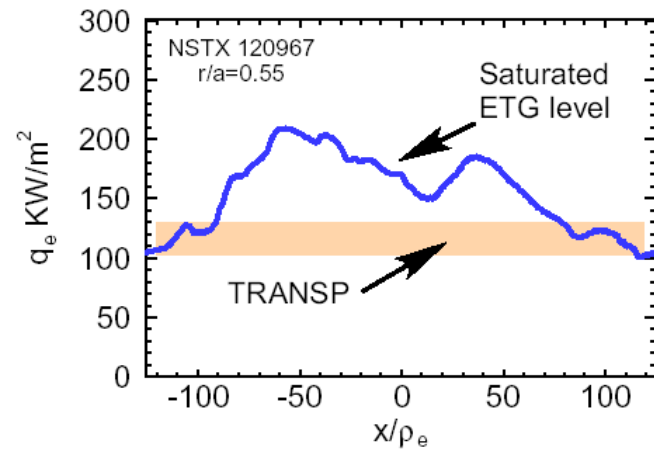


Kim, IFS

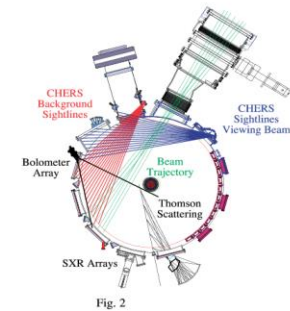
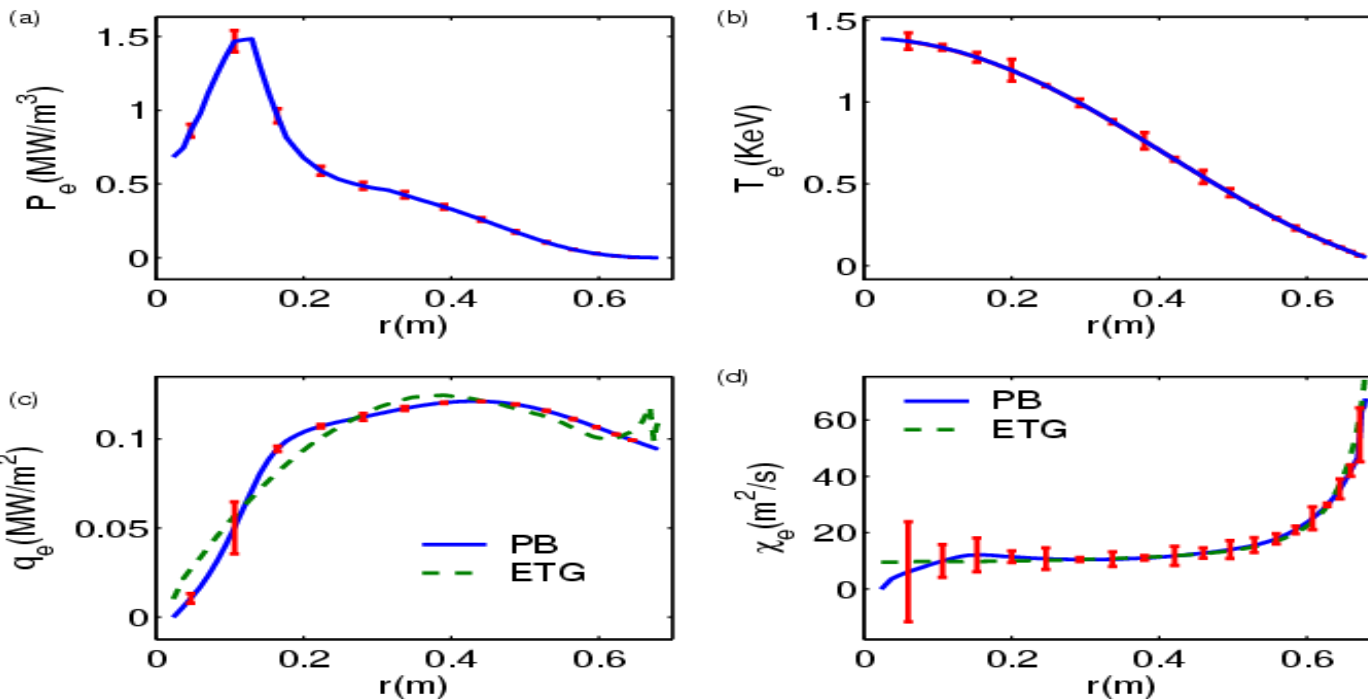
- Good agreement between experimental and theoretical saturated transport level at 0.35 T

- Experimental  $\chi_e$  profile consistent with that predicted by e-m ETG theory [Horton et al., NF 2004] at 0.35 T

- Not at higher  $B_T$



# ETG Modeling of NSTX with HHFW heating in high beta regime

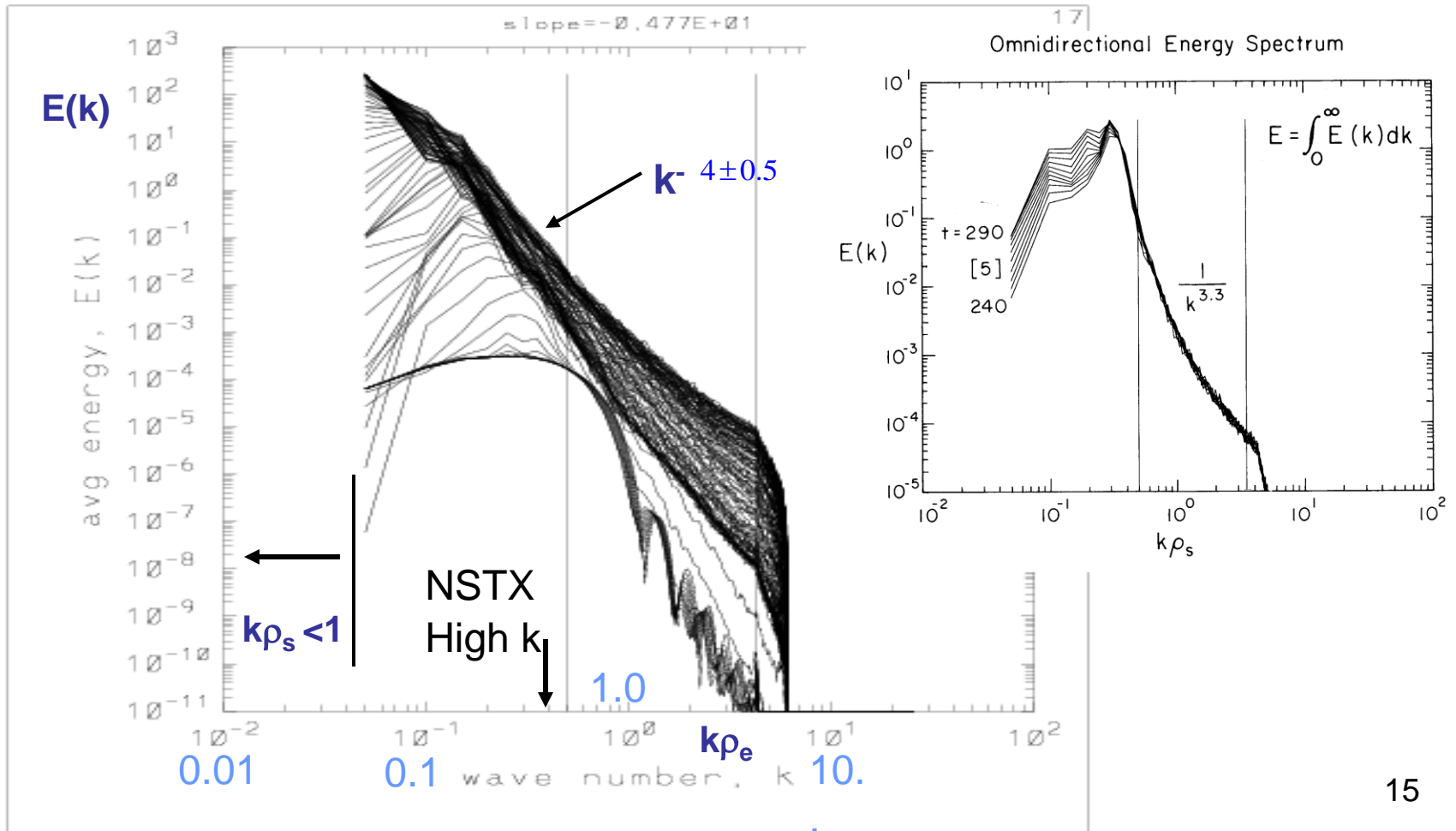


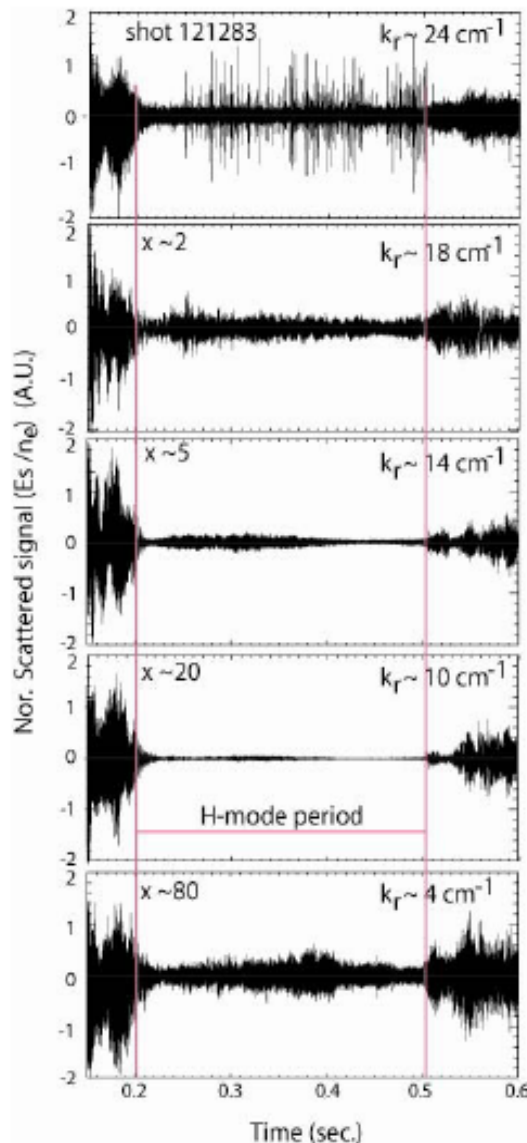
106194 discharge from Le Blanc et al. (Nucl Fusion 2004) and Horton et al. (Nucl Fusion 2005)

Coherent Structures in  $T_e$  field. Movie of RF heating-limiter cooling simulation at low shear.

RF heating is up to an order of magnitude larger than the Ohmic heating.  
The plasma current is essential to the confinement properties.

# Inverse Cascade from $\rho_e$ to $\rho_s$ and $c/\omega_{pe}$



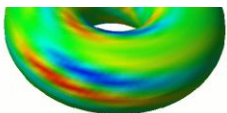
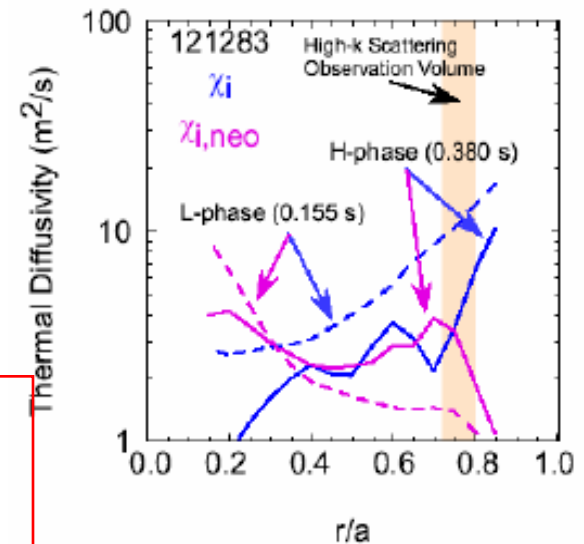
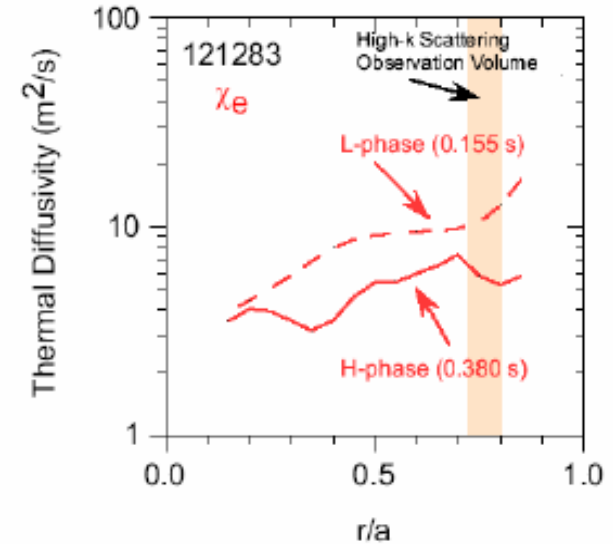


Scattering system measures reduced fluctuations ( $\frac{\tilde{n}_e}{n_e}$ ) both upper ITG/TEM and ETG ranges during H-mode

Ion and electron transport change going from L- to H-modes

Bursts of scattered signal at the highest  $k$  is noted.

High- $k$  scattering shows significant level of turbulence that remains w/o ITG turbulence.

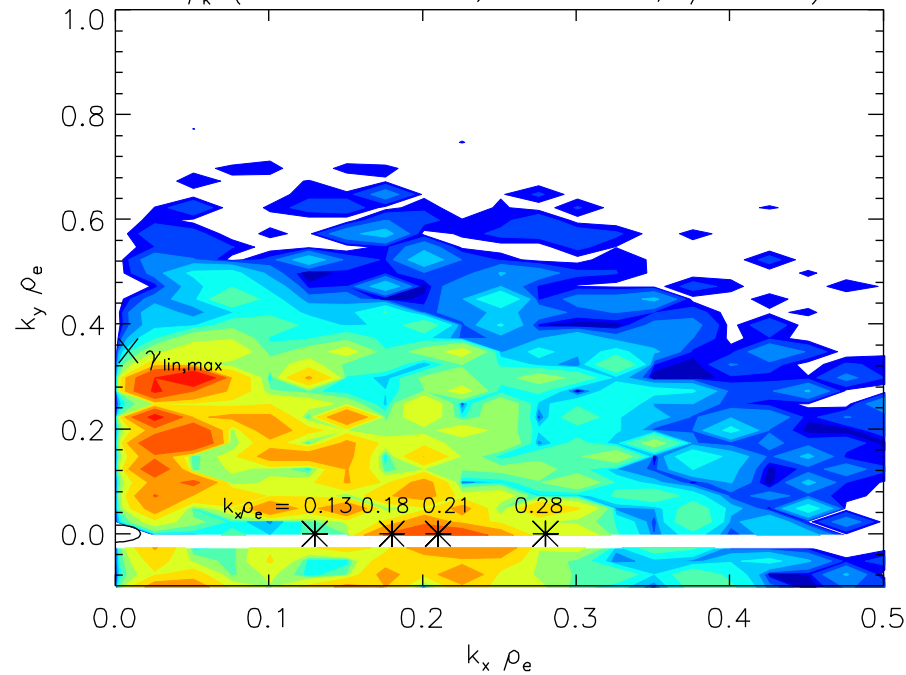




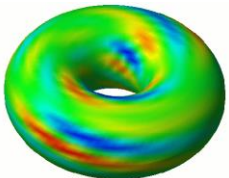
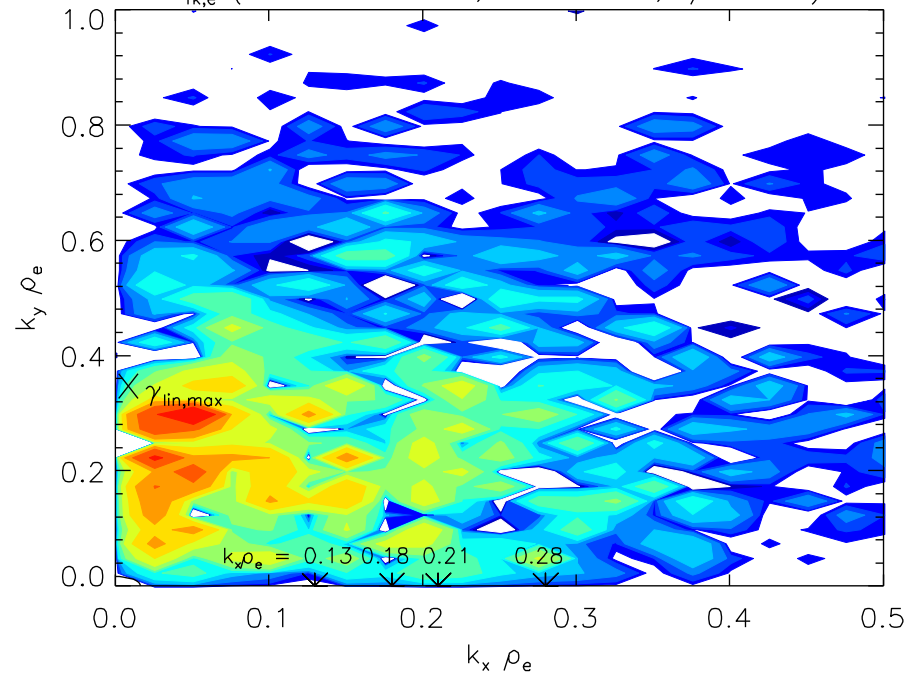
# Spectral Densities of $\langle \delta n_e \delta n_e \rangle$ and the Radial Heat

$$\text{Flux from } \langle v_x \delta T_e \rangle \sim \text{Re}[ik_y \phi(k)^* \delta T_e(k)]/B$$

$|\phi_k|^2$  (ETG1.0\_032\_160, 120967A03,  $r/a=0.56$ )



$q_{k,e}$  (ETG1.0\_032\_160, 120967A03,  $r/a=0.56$ )

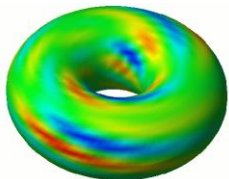
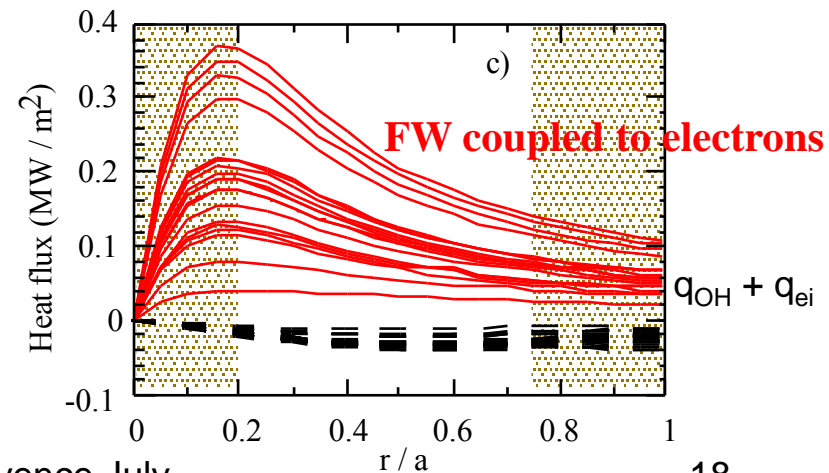
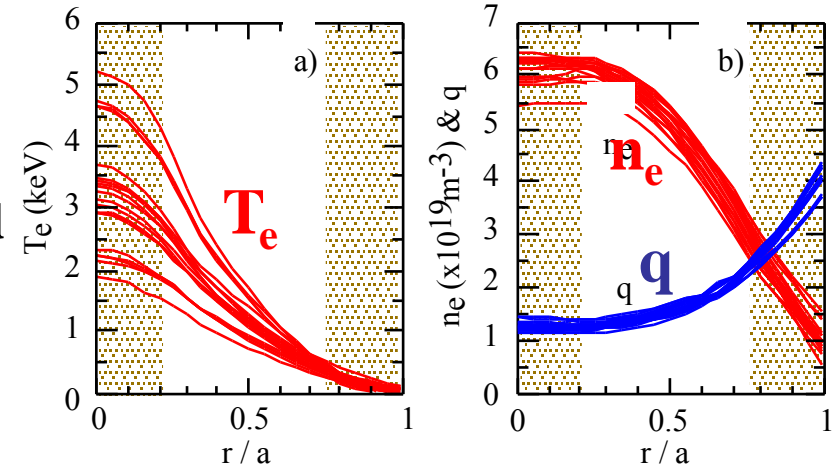


# Tore Supra electron transport data base for plasmas heated by fast-wave ion cyclotron

$I_p = 0.6 \text{ MA}$ ,  $B = 2.2 \text{ T}$ ,  $P_{\text{tot}} = 1.5 - 7.5 \text{ MW}$   
 ( $P_{\text{OH}} = 0.1 - 0.75 \text{ MW}$  and  $P_{\text{FW}} = 0.75 - 7.4 \text{ MW}$ )

- ✓ Quasi-steady-state plasmas  
 (duration  $\approx 20 - 120 \tau_E$ )
- ✓ No fast particles, no sawteeth
- ✓ Electron & ion channels are decoupled  
 ( $T_e \gg T_i$ )
- ✓ Core-localized FW power deposition  
 (up to 90% coupled to electrons)
- ✓ Up to 90% of FW power coupled to the electrons: ( $q_{\text{rf}}^e \gg q_{\text{ei}}, q_{\text{ohm}}$ )

→ Good confidence in transport analysis and controlled comparison with theory.



# Simulation of Fast-Wave Heating with ETG Transport

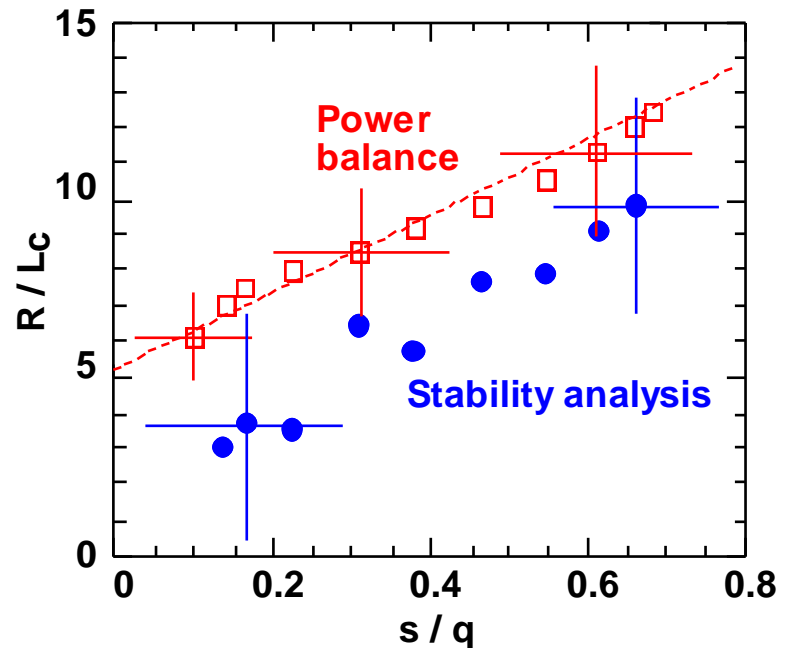
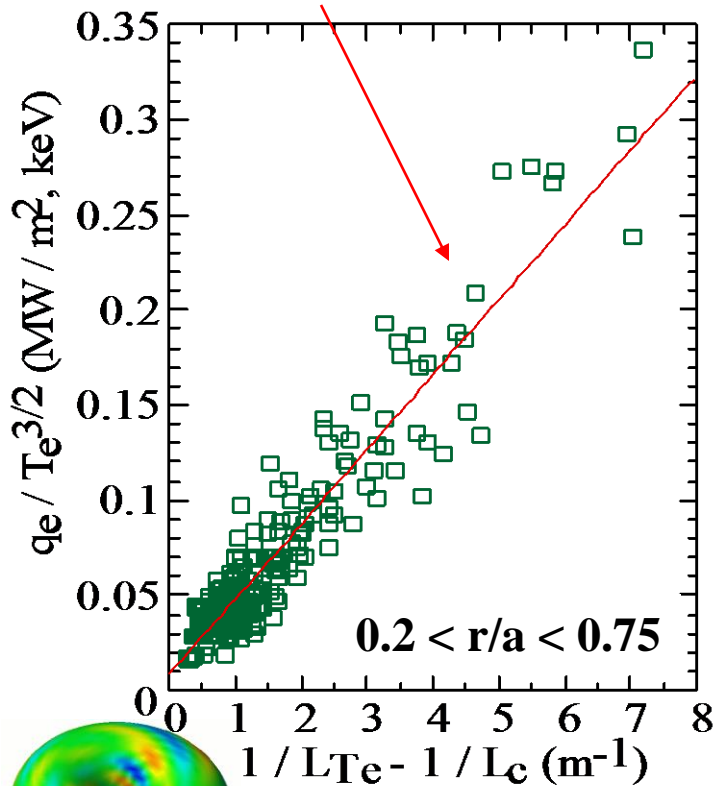
$$\chi_e^{\text{ETG}} = 4.3 \times (1.00 \pm 0.15) \text{ m}^2/\text{s}$$

Movie of  $\phi$  and  $T_e$  fields:

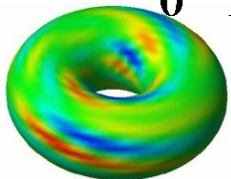
Analog of Hadley Cells



$$R / L_c = 5 (\pm 1) + 10(\pm 2) \text{ s} / q$$

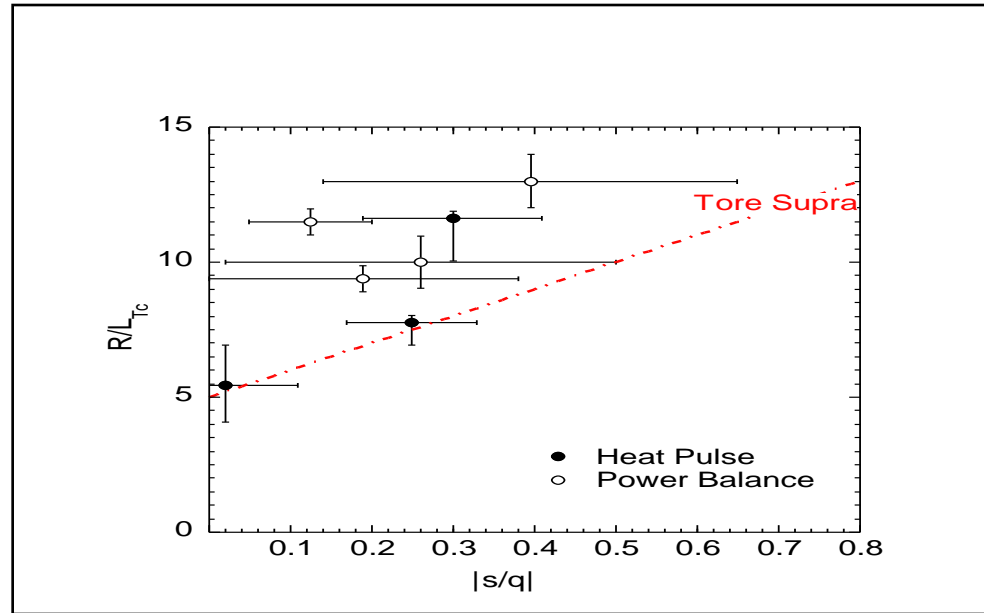
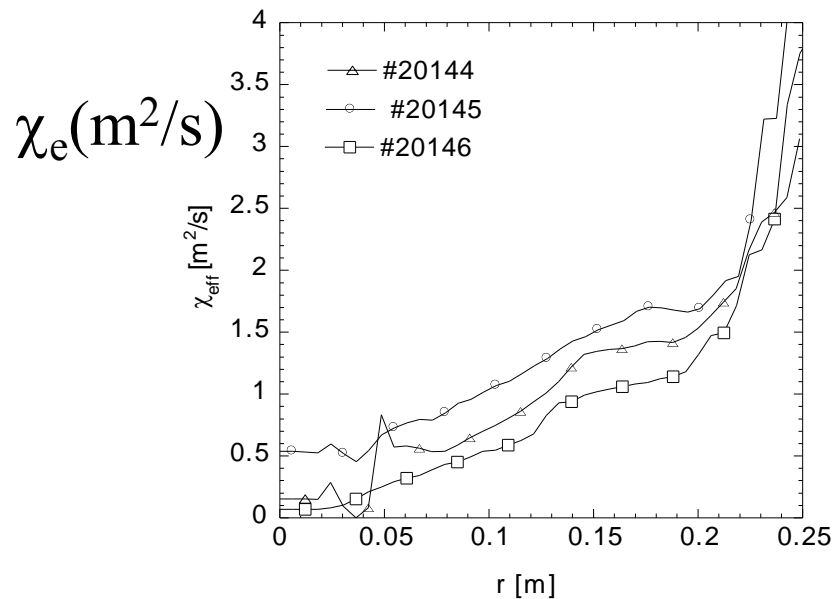


*Hoang, Bourdelle, Horton, et al., PRL87(2001).*



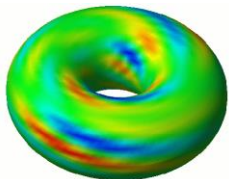
# $\chi_e$ from Modulated ECH in Frascati Tokamak FTU

## Analysis Supports ETG Transport

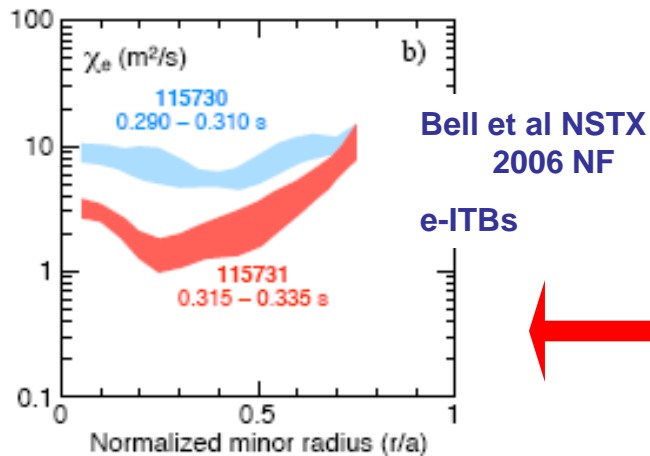


Jacchia, De Luca, Cirant et al.  
Nuclear Fusion vol. 42, 1116 (2002)

Agreement between two  
machines and theory..

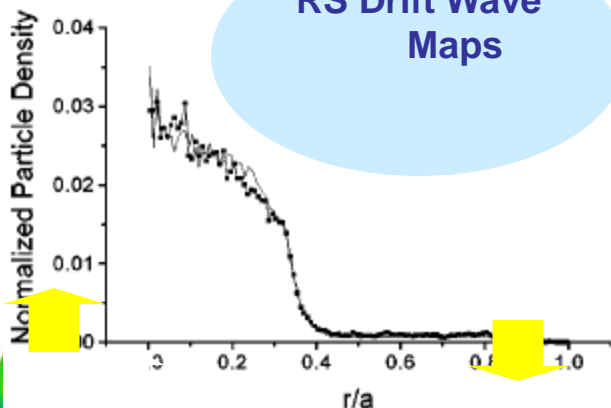


# Electron Transport Barriers



**Approach I:**  $N \sim 100$  radial cells with MPI radial transport code using theory flux formulas  $dE_r/dr$  and  $q(r)$ . High resolution integrators and steep gradients as in ITBs.

**Approach II:** Analytic drift wave maps with action-angle variables. Leaky barriers with correlated particle steps through broken KAM theorem.



**Reversed Shear:** creates local mirror symmetry. Morrison and Horton show this leads to factor 3 for the level of fluctuations required for validity of the diffusion approximation across the barrier. Creates e-ITB.

# 3D FLR Fluid Simulation

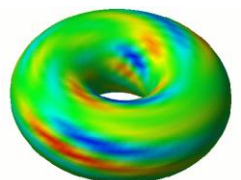
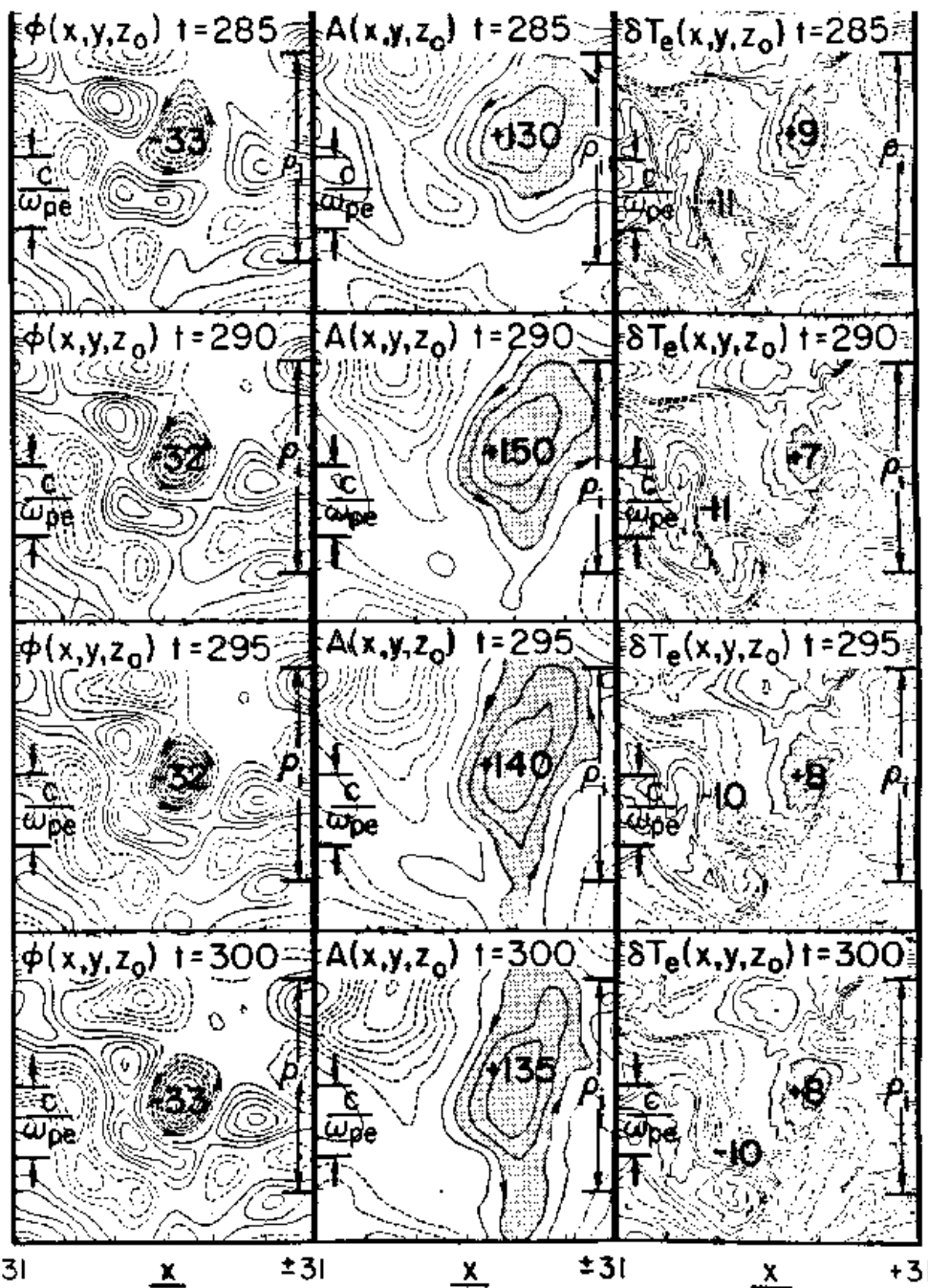
$\underline{Y}$   
 $\rho_e$

$t_1$

$t_2$

$t_3$

$t_4$



3D ETG turbulence  
from 3 FLR pde's

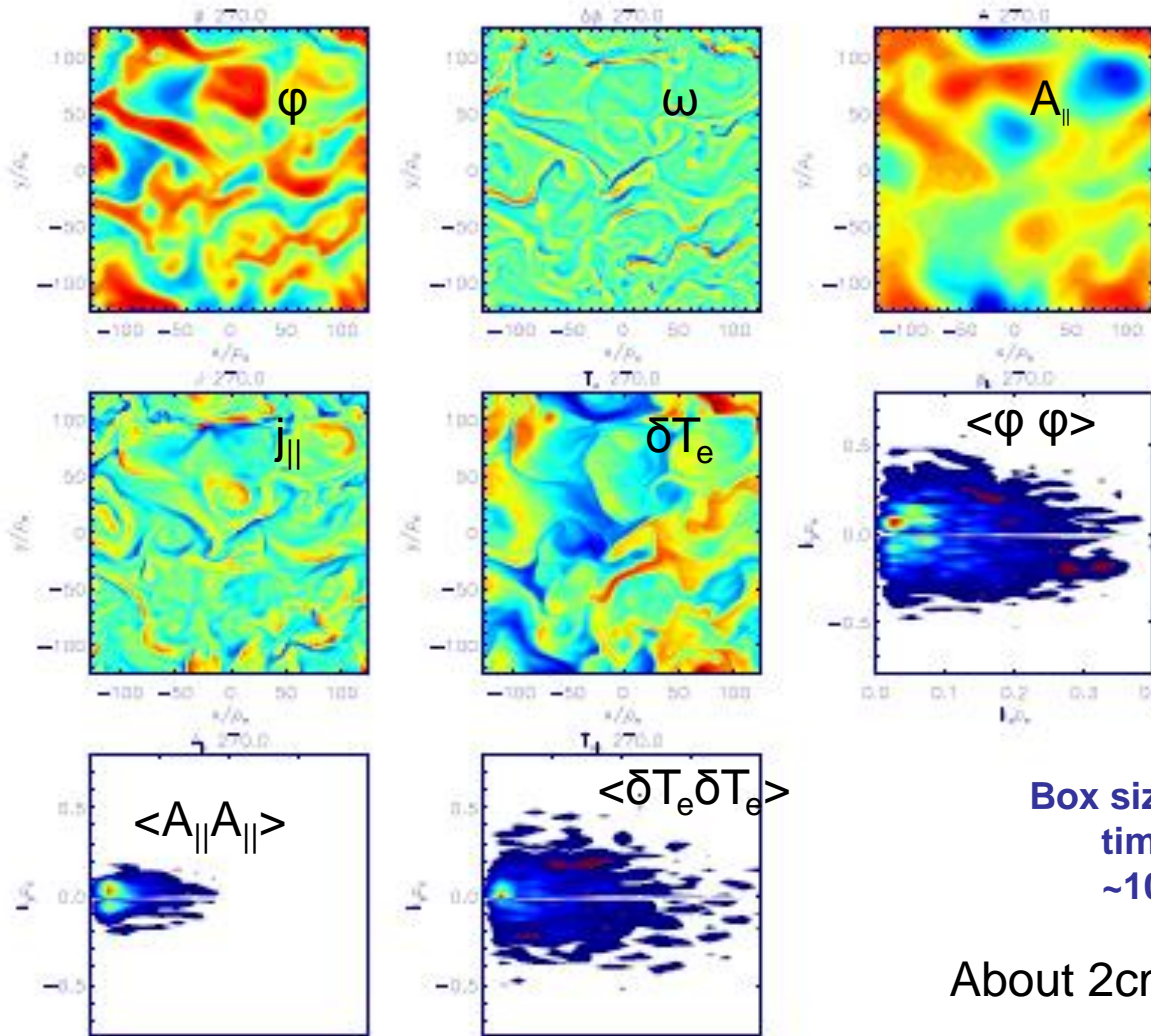
Local toroidicity and  
weak mag shear

Same pde's  
describe Micro-tearing  
modes.

IFS -1988-  
IAEA

# October 2006 ETG gyrofluid simulations for modeling NSTX high-k scattering data at $k_x \rho_e = 0.3$ and $k_y \rho_e \approx 0$

Kaye et al IAEA Chengdu 2006



Modern version of original ETG gyrofluid simulations.

Formation of streamers both in the flow field and in the perpendicular magnetic field.

Multiscale turbulence with intermittency in the turbulent heat flux  $q_e(t)$

Thermal diffusivity reaches 50 to 60 times the electron-gyroBohm level.

Box size is  $2\rho_i \times 2\rho_i$  ( $\sim 126 \rho_e$ )  
 time scale  $500 L_{Te}/v_e$   
 $\sim 100\mu s$

About 2cmX2cm in NSTX

## Summary of Transport Results and Future Plans

- ETG has become the standard model: plays key roles in NSTX, FTU, C-Mod, DIII-D and Tore Supra
- Consistent with  $\delta B_{\perp}^2 \propto \nabla T_e - (\nabla T_e)_{\text{crit}}$  from Cross-Polarization Scattering
- Ion-scale turbulence (TEM and ITG) produces further turbulence. Controlled by  $E_r$ -shear: vortices, streamers, and zonal flows and by ICRF heating.
- New electromagnetic datasets from LAPD and Helimak (Lee & Gentle) for electron transport comparisons with ETG theory.
- New tool GKV (Watanabe) being ported to TACC – NSF TeraGrid
- Theory of Reversed Magnetic Shear induced electron transport barrier by Horton and Morrison [PoP, 3910, 1998] continuing.
- Broken B-symmetry at the q-min reversal layer.
- High-plasma pressure systems are being investigated in the Gamma-10 Tandem Mirror as attractive platforms for the study of ETG.

Horton and Pastukhov APS-DPP 2006.

